

ANNEXURE II
SCRIP CODE : SCRIP NAME : SHIVALIK RASAYAN LIMITED SCRIP TYPE :- EQUITY
(I) (A) STATEMENT SHOWING SHAREHOLDING PATTERN AS ON 30/09/2015

CATEGORY CODE	CATEGORY OF SHAREHOLDERS	NUMBER OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NUMBER OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A PERCENTAGE OF TOTAL NUMBER OF SHARES		SHARES PLEDGED OR OTHERWISE ENCUMBERED	
					AS A PERCENTAGE OF (A+B)	AS A PERCENTAGE OF (A+B+C)	NUMBER OF SHARES	AS A PERCENTAGE
(A)	SHAREHOLDING OF PROMOTER AND PROMOTER GROUP							
(1)	INDIAN							
(A)	INDIVIDUALS/ HINDU UNDIVIDED FAMILY	0	0	0	0.00	0.00	0	0.00
(B)	CENTRAL GOVERNMENT/STATE GOVERNMENT(S)	0	0	0	0.00	0.00	0	0.00
(C)	BODIES CORPORATE	1	2435120	2435120	71.20	71.20	0	0.00
(D)	FINANCIAL INSTITUTIONS/ BANKS	0	0	0	0.00	0.00	0	0.00
(E)	ANY OTHER DIRECTORS & THEIR RELATIVES SOCIETIES PARTNERSHIP FIRMS RBI EMP. WELFARE FUND ESOP/ESOS TRUSTS	0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00	0 0 0 0 0 0	0.00 0.00 0.00 0.00 0.00 0.00
	SUB-TOTAL (A) (1)	1	2435120	2435120	71.20	71.20	0	0.00
(2)	FOREIGN							
(A)	INDIVIDUALS (NON-RESIDENT INDIVIDUALS/ FOREIGN INDIVIDUALS)	0	0	0	0.00	0.00	0	0.00
(B)	BODIES CORPORATE	0	0	0	0.00	0.00	0	0.00
(C)	INSTITUTIONS	0	0	0	0.00	0.00	0	0.00
(D)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0.00	0	0.00

R. B. Bhatnagar



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(E)	ANY OTHER DIRECTORS & THEIR RELATIVES	0	0	0	0.00	0.00	0	0.00
	SOCIETIES	0	0	0	0.00	0.00	0	0.00
	PARTNERSHIP FIRMS	0	0	0	0.00	0.00	0	0.00
	EMP. WELFARE FUND	0	0	0	0.00	0.00	0	0.00
	TRUST	0	0	0	0.00	0.00	0	0.00
	ESOP/ESOS	0	0	0	0.00	0.00	0	0.00
	SUB-TOTAL (A) (2)	0	0	0	0.00	0.00	0	0.00
	TOTAL SHAREHOLDING OF PROMOTER AND PROMOTER GROUP (A) = (A) (1) + (A) (2)	1	2435120	2435120	71.20	71.20	0	0.00
(B)	PUBLIC SHAREHOLDINGS							
(1)	INSTITUTIONS							
(A)	MUTUAL FUNDS/UTI	0	0	0	0.00	0.00	0	0.00
(B)	FINANCIAL INSTITUTIONS/ BANKS	2	129780	129780	3.79	3.79	0	0.00
(C)	CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)	0	0	0	0.00	0.00	0	0.00
(D)	VENTURE CAPITAL FUNDS	0	0	0	0.00	0.00	0	0.00
(E)	INSURANCE COMPANIES	0	0	0	0.00	0.00	0	0.00
(F)	FOREIGN INSTITUTIONAL INVESTORS	0	0	0	0.00	0.00	0	0.00
(G)	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0.00	0.00	0	0.00
(H)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0.00	0	0.00
(I)	ANY OTHER FOREIGN FIN INSTA	0	0	0	0.00	0.00	0	0.00
	FOREIGN MUT. FUND	0	0	0	0.00	0.00	0	0.00
	F. F. I/ BANKS	0	0	0	0.00	0.00	0	0.00
	STRESSED ASSET	0	0	0	0.00	0.00	0	0.00
	STABILISATION FUND	0	0	0	0.00	0.00	0	0.00
	STATE FIN. CORP.	0	0	0	0.00	0.00	0	0.00
	SUB-TOTAL (B) (1)	2	129780	129780	3.79	3.79	0	0.00

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(2)	NON-INSTITUTIONS								
(A)	BODIES CORPORATE	23	189175	585	5.53	5.53	0	0.00	
(B)	INDIVIDUALS								
	I. INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL UPTO RS. 1 LAKH	903	353247	89791	10.33	10.33	0	0.00	
	II. INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL IN EXCESS OF RS. 1 LAKH	17	312300	58900	9.13	9.13	0	0.00	
(C)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0.00	0	0.00	
(D)	ANY OTHER								
	TRUSTS	0	0	0	0.00	0.00	0	0.00	
	DIRECTORS & THEIR RELATIVES	0	0	0	0.00	0.00	0	0.00	
	MARKET MAKER	0	0	0	0.00	0.00	0	0.00	
	NRI	1	50	50	0.00	0.00	0	0.00	
	CLEARING MEMBERS	2	90	90	0.00	0.00	0	0.00	
	HDF	3	238	238	0.01	0.01	0	0.00	
	NRIS/OCBS	0	0	0	0.00	0.00	0	0.00	
	ESOP/ESOS/ESPS	0	0	0	0.00	0.00	0	0.00	
	SUB-TOTAL (B) (2)	949	855100	149554	25.00	25.00	0	0.00	
	TOTAL PUBLIC SHAREHOLDING (B) = (B) (1) + (B) (2)	951	984880	279334	28.80	28.80	0	0.00	
	TOTAL (A) + (B)	952	3420000	2714454	100.00	100.00	0	0.00	
(C)	SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED								
1	PROMOTER AND PROMOTER GROUP	0	0	0	0.00	0.00	0	0.00	
2	PUBLIC	0	0	0	N. A.	0.00	0	0.00	
	GRAND TOTAL (A) + (B) + (C)	952	3420000	2714454	N. A.	100.00	0	0.00	

R. B. Sharma



INTRODUCTORY SUB-TABLE (I) (A)
 SCRIP CODE : SCRIP NAME : SHIVALIK RASAYAN LIMITED SCRIP TYPE :- EQUITY
 QUARTER ENDED 30/09/2015

PARTLY PAID-UP SHARES:-	NUMBER OF PARTLY PAID-UP SHARES	AS A % OF TOTAL NO. OF PARTLY PAID-UP SHARES	AS A % OF TOTAL NO. OF SHARES OF THE COMPANY
HELD BY PROMOTER/PROMOTER GROUP	0	0.0000	0.0000
HELD BY PUBLIC	0	0.0000	0.0000
TOTAL- D	0	0.0000	0.0000
OUTSTANDING CONVERTIBLE SECURITIES:-	NO. OF OUTSTANDING SECURITIES	AS A % OF TOTAL NUMBER OF OUTSTANDING CONVERTIBLE SECURITIES	AS A % OF TOTAL NO. OF SHARES OF THE COMPANY, ASSUMING FULL CONVERSION OF THE CONVERTIBLE SECURITIES
HELD BY PROMOTER/PROMOTER GROUP	0	0.0000	0.0000
HELD BY PUBLIC	0	0.0000	0.0000
TOTAL- E	0	0.0000	0.0000
WARRANTS:-	NUMBER OF WARRANTS	AS A % OF TOTAL NUMBER OF WARRANTS	AS A % OF TOTAL NO. OF SHARES OF THE COMPANY, ASSUMING FULL CONVERSION OF WARRANTS
HELD BY PROMOTER/PROMOTER GROUP	0	0.0000	0.0000
HELD BY PUBLIC	0	0.0000	0.0000
TOTAL- F	0	0.0000	0.0000
TOTAL PAID-UP CAPITAL OF THE COMPANY, ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES (GRAND TOTAL (A+B+C)+D+E+F)	3420000	N.A.	100.000

R. B. D.


SCHEM CODE : SHIVALIK RASAYAN LIMITED SCRP TYPE :- EQUITY
 (1) (b) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY "PROMOTER AND PROMOTER GROUP"

SRL. NO.	NAME OF THE SHAREHOLDER	DETAILS OF SHARES HELD		SHARES FLEGGED OR OTHERWISE ENCUMBERED	DETAILS OF WARRANTS			DETAILS OF CONVERTIBLE SECURITIES	TOTAL SHARES (INCL-UDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONV-ERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
		NUMBER OF SHARES HELD	AS A % OF GRAND TOTAL		NUMBER	AS A % OF GRAND TOTAL (A+B+C) OF SUB-CLASS (1) (A)	NUMBER OF WARRANTS HELD		
1	GROWEL CAPITAL SERVICES P LTD AABCG310EP	2435120	71.20	0	0.00	0	0.00		
	TOTAL	2435120	71.20	0	0.00	0	0.00		

(1) (c) (1) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY "PUBLIC" AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES*

SRL. NO.	NAME OF THE SHAREHOLDER	NUMBER OF SHARES HELD	SHARES AS A PERCENTAGE OF TOTAL NUMBER OF SHARES (I.E. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATE-MENT AT PARA (1) (A) ABOVE)	DETAILS OF WARRANTS		DETAILS OF CONVERTIBLE SECURITIES		TOTAL SHARES (INCL-UDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONV-ERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
				NUMBER OF WARRANTS HELD	AS A % OF TOTAL WARRANTS HELD OF THE SAME CLASS	AS A % OF TOTAL CONVERTIBLE SECURITIES HELD OF THE SAME CLASS	% W.R.T. TOTAL NUMBER OF CONVERTIBLE SECURITIES HELD OF THE SAME CLASS	
1	CENTRAL BANK OF INDIA AAACC249EP	112970	3.29					
	TOTAL	112970	3.29					



(1) (C) (1) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY "PUBLIC" AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY.

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SRL. NO.	NAME OF THE SHAREHOLDER	NUMBER OF SHARES HELD	SHARES AS A PERCENTAGE OF TOTAL NUMBER OF SHARES (I.E. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (1) (A) ABOVE)	DETAILS OF DETAILS OF WARRANTS NO OF WARRANTS HELD OF THE SAME CLASS	AS A % OF TOTAL NO OF WARRANTS HELD OF THE SAME CLASS	DETAILS OF CONVERTIBLE SECURITIES	TOTAL SHARES (INCL. -ING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS % OF DILUTED SHARE CAPITAL
	NOT APPLICABLE						
	TOTAL	0	0.00				

(1) (D) STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES.

SRL. NO.	NAME OF THE SHAREHOLDER	NUMBER OF LOCKED-IN SHARES	LOCKED-IN SHARES AS A % OF TOTAL NUMBER OF SHARES (I.E. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (1) (A) ABOVE)	PROMOTER/PROMOTER GROUP /PUBLIC
	NOT APPLICABLE	N.A.	N.A.	

(1) (A) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)

SRL. NO.	TYPE OF OUTSTANDING DR (ADRS, GDRS, SHRS, ETC.)	NUMBER OF OUTSTANDING DR	NUMBER OF SHARES UNDERLYING OUTSTANDING DR	SHARES UNDERLYING OUTSTANDING DR AS A PERCENTAGE OF TOTAL NUMBER OF SHARES (I.E. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (1) (A) ABOVE)
	NOT APPLICABLE	N.A.	N.A.	N.A.
	TOTAL			

(1) (B) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS), WHERE UNDERLYING SHARES HELD BY 'PROMOTER/PROMOTER GROUP' ARE IN EXCESS OF 1% OF THE TOTAL NUMBER OF SHARES

SRL. NO.	NAME OF THE DR HOLDER	TYPE OF OUTSTANDING DR (ADRS, GDRS, SHRS, ETC.)	NUMBER OF SHARES UNDERLYING OUTSTANDING DR	SHARES UNDERLYING OUTSTANDING DR AS A PERCENTAGE OF TOTAL NUMBER OF SHARES (I.E. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (1) (A) ABOVE)
	NOT APPLICABLE	N.A.	N.A.	N.A.
	TOTAL			



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