



Corporate Office: 1506, Chiranjiv Tower,
43, Nehru Place, New Delhi-19
Tel: +91 11 47589500 (30 Lines), 26221811/26418182
E-Mail : info@shivalikrasayan.com
Website: www.shivalikrasayan.com
CIN: L24237UR1979PLC005041

Ref: STEX/SDD/2022-23

Date- 20.02.2023

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai- 400001

National Stock Exchange of India Ltd
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E)
Mumbai – 400 051

Code No- 539148

Symbol- SHIVALIK

Sub: SDD Compliance Certificate for the Quarter ended December 31, 2022

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find attached herewith the SDD Compliance Certificate for the Quarter ended December 31, 2022.

This for your information and records

Kindly acknowledge the receipt of the same.

Thanking you,

Yours faithfully

For **Shivalik Rasayan Limited**

Parul Choudhary
Company Secretary & Compliance Officer
ACS- 34854

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Parul Choudhary, Compliance Officer of Shivalik Rasayan Limited, aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
 2. control exists as to who can access the SDD
 3. all the UPSI disseminated in the previous quarter have been captured in the Database
 4. the system has captured nature of UPSI along with date and time
 5. the database has been maintained internally and an audit trail is maintained
 6. the database is non-tamperable and has the capability to maintain the records for 8 years.
- (Relevant points from 1 to 6 can be struck off in the case of non-compliance)**

I also confirm that the Company was required to capture 1 number of events during the quarter ended December 31, 2022 and has captured 1 number of the said required events.

I would like to report that the no non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

For **Shivalik Rasayan Limited**

Company Secretary & Compliance Officer
ACS- 34854

Date: 20.02.2023
Place: New Delhi